

SECRET  
PATENT #123  
51270-245623

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: SUZUKI, Eiichi

Art Unit: 3662

Serial No: 09/471,706

Examiner: Not Assigned

Filed: December 23, 1999

Title: AUDIO APPARATUS OF NEGATIVE DRIVING WITH ADAPTIVE GAIN  
CONTROL

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington D.C. 20231, on April 4, 2000

By:   
Roger R. Wise

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231



Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date  
Granted," dated February 14, 2000, response to which is due April 14, 2000, enclosed  
are the following:

- X A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- X A "Declaration and Power of Attorney" executed by the inventor(s).
- X An "Assignment" document executed by the Inventor(s), along with an  
Assignment Recordation Cover Sheet and a check in the amount of \$40.

X A check in the amount of \$760.00 to cover the filing fee.

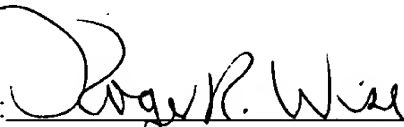
X A check in the amount of \$130.00 to cover the surcharge fee..

The Commissioner is authorized to charge any additional amounts due in connection with this matter to our Deposit Account No. 16-1805. (A copy of this letter is enclosed).

Respectfully submitted,

PILLSBURY MADISON & SUTRO LLP

Date: April 4, 2000

By:   
Roger R. Wise  
Registration No. 31,204  
Attorney for Applicant(s)

725 South Figueroa Street, Suite 1200  
Los Angeles, CA 90017-5443  
Telephone: (213) 488-7100  
Facsimile: (213) 629-1033



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

USPTO: 245623  
RRW  
#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/471,706 12/23/99 SUZUKI

E 51270-245623

0212/0214  
PILLSEURY MADISON & SUTRO LLP  
725 SOUTH FIGUEROA STREET  
SUITE 1200  
LOS ANGELES CA 90017-5140



DATE MAILED: 3662

02/14/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 390.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center

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760.00 DP  
130.00 DP